FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-----------|------------|---------------|-----------|
| | | | |

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Angermayer Christian</u> | | | | 2. Issuer Name and Ticker or Trading Symbol ATAI Life Sciences N.V. [ATAI] | | | | | | (Che | Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner | | | | | | |
|--|---------|--|----------------------------------|---|------------|------------|--|----------------|----------------|---|---|---|--|---|--|--|--|
| (Last) (First) (Middle) 66 & 67, BEATRICE, AMERY STREET | | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/10/2021 | | | | | | | Officer (below) | give title | | Other (s below) | pecify | | |
| (Street) SLIEMA | | | SLM1707 | 4. | . If Ame | endment, [| Date of | f Original Fi | ed (Mon | th/Day | y/Year) | 6. In Line | Form fil | ed by One | Repo | (Check App rting Person One Report | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | te | Execution Date, Transacti | | ion Dis | r. | | tr. 3, 4 and 5 | Beneficially Owned Following Reported Transaction(s) | | (D) or Indirect (I) (Instr. 4) | | 7. Nature of ndirect Beneficial Dwnership Instr. 4) | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | |
| Derivative Conversion Date Execution Security or Exercise (Month/Day/Year) if any | | 3A. Deemed Execution Date, if any (Month/Day/Year | ate, Transaction Code (Instr. | | Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | nd | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | s Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | Code | | | Date Exercisable | Expira Date | tion | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | | | |
| Stock Option | \$16.46 | 09/10/2021 | | A | | 128,000 | | (1) | 09/10/2 | 2031 | Common Shares | 128,000 | \$0.00 | 128,00 | 0 | D | |

Explanation of Responses:

1. The stock option shall vest with respect to a third of the underlying common shares on June 17, 2022 and in 24 substantially equal monthly installments thereafter until June 17, 2024.

Remarks:

/s/ Ryan Barrett, Attorney-in-09/13/2021 fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.