SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
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hours per response:	0.5								

Instruction	1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act or Section 30(h) of the Investment Company Act of 1940		hours per response:	0.5
1. Name and A <u>Auerbach</u>	ddress of Reporting Michael	g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>ATAI Life Sciences N.V.</u> [ATAI]		,	Olssuer Owner
(Last) C/O SUBVE	(First) ERSIVE ATAI L	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/22/2021	Officer below)		er (specify w)
217 CENTR	E STREET, SU	ITE 122	4. If Amendment, Date of Original Filed (Month/Day/Year	r) 6. Individual or J Line)	Joint/Group Filing (Check	Applicable
(Street) NEW YORF	K NY	10013			led by One Reporting Pe led by More than One Re	
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	Table 1 - Non Bernative Securities Acquired, Disposed of, of Beneficially Office											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Shares	06/22/2021		Р		4,666	A	\$15	5,564,474	Ι	By Subversive Atai LLC ⁽¹⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4 and 5)		iration Date Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Mr. Auerbach serves as General Partner of Subversive ATAI LLC, a multi-member limited liability company. Mr. Auerbach has sole voting and investment power with respect to the shares held by Subversive ATAI LLC.

Remarks:

<u>/s/ Ryan Barrett, Attorney-in-</u> <u>fact</u> <u>06/24/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.