## FORM 4

## **UNITED STATES S**

Washington, D.C. 20549

SECURITIES AND EXCHANGE COMMISSION
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OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Johnson Anne Nagengast					2. Issuer Name and Ticker or Trading Symbol ATAI Life Sciences N.V. [ ATAI ]						(Chec	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify			
(Last) (First) (Middle) C/O ATAI LIFE SCIENCES N.V. WALLSTRASSE 16					3. Date of Earliest Transaction (Month/Day/Year) 10/04/2024							Officer (give title Other (specify below)  Chief Financial Officer			
(Street) BERLIN 2M 10179			_	4. If Amendment, Date of Original Filed (Month/Day/Year)							Individual or Joint/Group Filing (Check Applicable Line)     Form filed by One Reporting Person     Form filed by More than One Reporting Person				
(City)	(\$	State)	(Zip)												
1. Title of Security (Instr. 3)  2. Trans. Date				Transac				3. Transaction Code (Instr. 8)				5. Amount of		orm: Direct ) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code V	Amount	(A) or (D)	Price	Transaction (Instr. 3 and	n(s) d 4)		113d. <del>4</del> )	
			Table II - De (e					ired, Dispo				wned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)			its, ca		er of re is i (A) or d of (D)		onvertible isable and ate		d Amount	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Ownership Form:	Beneficial Ownership (Instr. 4)
Derivative Security	Conversion or Exercise Price of Derivative	Date	3A. Deemed Execution Date, if any	4. Transa	its, ca	5. Number Derivativ Securitie Acquired Disposed	er of re is i (A) or d of (D)	6. Date Exerc	onvertible isable and ate	7. Title and of Securiti Underlying Derivative	d Amount	8. Price of Derivative Security	derivative Securities Beneficially Owned	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	of Indirect Beneficial Ownership (Instr. 4)
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative	Date	3A. Deemed Execution Date, if any	4. Transa Code (8)	action (Instr.	5. Number Derivative Securities Acquired Disposed (Instr. 3,	er of ee es I (A) or d of (D) 4 and 5)	options, of 6. Date Exerc Expiration Da (Month/Day/N	isable and ate ear)	7. Title and of Securiti Underlying Derivative (Instr. 3 ar	d Amount les g Security dd 4)  Amount or Number	8. Price of Derivative Security	derivative Securities Beneficially Owned Following Reported Transaction	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	of Indirect Beneficial Ownership (Instr. 4)
Derivative Security (Instr. 3)  Stock Option <sup>(1)</sup> Stock	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	3A. Deemed Execution Date, if any	.g., pu	action (Instr.	5. Number Derivative Securities Acquired Disposed (Instr. 3,	er of ress (A) or d of (D) 4 and 5)	options, c 6. Date Exerc Expiration Da (Month/Day/N	isable and ate (ear)	7. Title and of Security Underlying Derivative (Instr. 3 ar	d Amount des g Security dd 4)  Amount or Number of Shares	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	of Indirect Beneficial Ownership (Instr. 4)
Derivative Security	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	3A. Deemed Execution Date, if any	.g., pu 4. Transa Code 8)	action (Instr.	5. Number Derivative Securities Acquired Disposed (Instr. 3,	rrants, er of ee es s (A) or d of (D) 4 and 5)  (D)	Options, C  6. Date Exerc Expiration Da (Month/Day/N  Date Exercisable	envertible isable and the rear)  Expiration Date  08/20/2025	7. Title an of Securit Underlyin, Derivative (Instr. 3 ar  Title  Common Shares  Common	d Amount les g Security dd 4)  Amount or Number of Shares 326,416	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	of Indirect Beneficial Ownership (Instr. 4)

- 1. No new stock options have been awarded. The transactions reported herein reflect the extension of the expiration date of certain pre-IPO stock options to align them with options granted under the Issuer's 2021 Incentive Award Plan, which is consistent with prevailing market practices.
- 2. The stock option is fully vested and currently exercisable
- 3. The stock option vested as to 25% of the underlying shares on April 29, 2022, and the remaining underlying shares vest in 36 substantially equal monthly installments thereafter.

/s/ Ryan Barrett, Attorney-in-fact 10/04/2024

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.