SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					or Se	ction 30(h)	of the	Ínvestmer	nt Cor	npany Act	of 1940							
1. Name and Address of Reporting Person* Brand Florian					2. Issuer Name and Ticker or Trading Symbol ATAI Life Sciences N.V. [ATAI]								5. Relationship of Reporting Pers (Check all applicable) Director				n(s) to Issue 10% Ov	
(Last) (First) (Middle) WALLSTRASSE 16					3. Date of Earliest Transaction (Month/Day/Year) 03/14/2023								Х	Officer (g below)		tle Other (specify below)		pecify
(Street) BERLIN 2M 10179				 	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(5	State)	(Zip)															_
		1	able I - Non	-Deriva	tive S	ecuritie	s Ac	quired,	Dis	posed o	of, or Be	enefici	ally C	Dwned				
Date				2. Transac Date (Month/Da	Execution Date		n Date	Date, Transactio Code (Inst					r ind 5)	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code			v	Amount	mount (A) or P		ce	Transaction(s) (Instr. 3 and 4)				iiisu. 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Ex Expiratior (Month/Da	Date		d 7. Title and Amo Securities Under Derivative Secur (Instr. 3 and 4)		ing	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Benefici Owned Followin Reporte Transac	ve es ially ng d	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership ct (Instr. 4)
				Code	v	(A)	(D)	Date Exercisab	le E	xpiration ate	Title	Amour Numbe Shares	er of		(Instr. 4)			
Stock Option	\$1.18	03/14/2023		Α		1,600,000		(1)	0	3/14/2033	Common Shares	1,600	,000	\$0.00	1,600,	,000	D	

Explanation of Responses:

(2)

1. The stock option shall vest as to 25% of the underlying shares on March 14, 2024, and in 36 substantially equal monthly installments thereafter.

Α

2. Each restricted stock unit represents a contingent right to receive one common share of the Issuer upon vesting and settlement. 50% of the restricted stock units will vest on the first anniversary of the grant date and 50% will vest on the second anniversary of the grant date.

(2)

Remarks:

Restricted

Stock Uni

Co-Founder and Chief Executive Officer

/s/ Ryan Barrett, Attorney-infact

700,000

\$0.00

Commo

Shares

(2)

03/16/2023

700.000

D

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

03/14/2023

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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